

A Link between Visual Disambiguation and Visual Memory

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Sensory information in the retinal image is typically too ambiguous to support visual object recognition by itself. Theories of visual disambiguation posit that to disambiguate, and thus interpret, the incoming images, the visual system must integrate the sensory information with previous knowledge of the visual world. However, the underlying neural mechanisms remain unclear. Using functional magnetic resonance imaging (fMRI) of human subjects, we have found evidence for functional specialization for storing disambiguating information in memory versus interpreting incoming ambiguous images. Subjects viewed two-tone, “Mooney” images, which are typically ambiguous when seen for the first time but are quickly disambiguated after viewing the corresponding unambiguous color images. Activity in one set of regions, including a region in the medial parietal cortex previously reported to play a key role in Mooney image disambiguation, closely reflected memory for previously seen color images but not the subsequent disambiguation of Mooney images. A second set of regions, including the superior temporal sulcus, showed the opposite pattern, in that their responses closely reflected the subjects’ percepts of the disambiguated Mooney images on a stimulus-to-stimulus basis but not the memory of the corresponding color images. Functional connectivity between the two sets of regions was stronger during those trials in which the disambiguated percept was stronger. This functional interaction between brain regions that specialize in storing disambiguating information in memory versus interpreting incoming ambiguous images may represent a general mechanism by which previous knowledge disambiguates visual sensory information.

Introduction

The perception of two-tone or “Mooney” images provides a striking demonstration of visual disambiguation through the application of previous knowledge. When viewed for the first time, a Mooney image appears to be an unrecognizable pattern of black and white regions. However, after viewing a full color or gray-level version of the Mooney image, it becomes easy to interpret. The disambiguation of the pattern of black and white regions is rapid and can be extremely compelling (Gregory, 1973; Dolan et al., 1997; Moore and Cavanagh, 1998; Andrews and Schluppeck, 2004; McKeef and Tong, 2007; Hsieh et al., 2010) (see Fig. 1A).

Learning to interpret Mooney images can be understood as an extreme case of knowledge-mediated disambiguation that is part and parcel of normal visual perception (Gregory, 1973; Cavanagh, 1991; Dolan et al., 1997; Moore and Cavanagh, 1998; Epshtein et al., 2008). Natural images have inherent objective ambiguities regarding the scene causes of their features, yet our subjective interpretation of an image is rarely ambiguous (Kersten and Yuille, 2003). Furthermore, not all causes are equally informative. For example, an image edge can be caused by

a change in illumination or a change in depth, but depth change (or orientation or material) is a more useful source of information for recognition (Biederman, 1985; Naor-Raz et al., 2003). Computational vision studies have shown that unambiguous image interpretation (or “parsing”) can be achieved through scene knowledge-mediated selection and integration of multiple weak cues (Tu et al., 2005; Epshtein et al., 2008). Thus, disambiguation likely occurs at multiple levels, in particular at the levels of both feature selection and decision stages of recognition. By introducing subjective ambiguity through drastic bit reduction, not dissimilar to night vision, Mooney images provide a useful tool for investigating how the human visual system uses previous knowledge to turn an ambiguous image into an interpretable scene. Changes in illumination or depth, for example, are now highly confusable, both being represented by sharp changes from white to black. Mooney quantization reduces the amount and quality of local features, necessitating greater reliance on previous knowledge for accurate interpretation (Cavanagh, 1991).

Several previous studies have identified multiple brain regions, including regions in the temporal lobe and the medial parietal cortex, that are preferentially responsive to Mooney images before versus after disambiguation (Dolan et al., 1997; Moore and Engel, 2001; Andrews and Schluppeck, 2004; McKeef and Tong, 2007; Hsieh et al., 2010), indicating that these regions play a role in Mooney image disambiguation. However, the precise roles these regions play in this process remains unclear. For instance, do these regions play mutually equivalent, redundant roles, or are they specialized for various aspects of the disambiguation task? Does the same set of regions help both store the information from the disambiguating information in memory

Received Sept. 7, 2009; revised Aug. 18, 2010; accepted Aug. 23, 2010.

This work was supported by Office of Naval Research Grant N00014-05-1-0124 and by National Institutes of Health Grant R01 EY015261 (D.K.). The 3 T scanner at the University of Minnesota Center for Magnetic Resonance Research is supported by Biotechnology Research Resource Grant P41 008079 and by the MIND Institute. We thank many of our colleagues, especially Drs. Clare Bergson, David Blake, Christos Constantinidis, Stephen Engel, Wilma Koutstaal, and Jennifer McDowell, for helpful discussions and comments on this manuscript.

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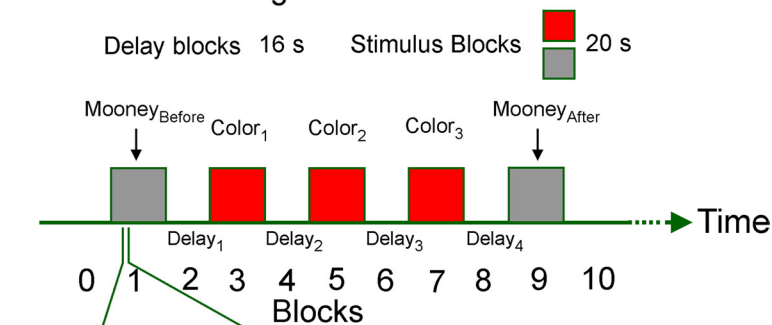
DOI:10.1523/JNEUROSCI.4415-09.2010

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A Stimuli



B Mixed scan design



C Typical trial (2 s) during Mooney blocks

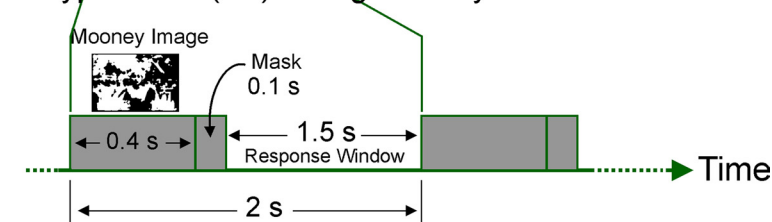


Figure 1. Stimuli and scan paradigm. **A**, Stimuli. In the main experiment (shown in this panel), subjects viewed two-tone (Mooney) versions of natural images of faces or flowers before and after viewing the full color counterparts of the same images. In the control experiment (data not shown), the color images were unrelated to the Mooney images. **B**, The events-within-block (i.e., mixed) scan design. Each scan featured 10 unfamiliar images of either faces or flowers presented in blocks (rectangles), with three color image blocks interspersed between the two Mooney image blocks. During each 20 s stimulus block, stimuli were presented every 2 s. Each stimulus block was preceded and followed by a 16 s delay block. **C**, Composition of the Mooney blocks. Either Mooney block consisted of 10 consecutive 2 s trials during which the subjects rated each Mooney image on a scale of 1 (most ambiguous) to 4 (least ambiguous). During the color blocks, the subjects viewed the color counterparts of the same set of images presented for 2 s in a reshuffled order (data not shown) but did not rate the stimuli. For details, see Materials and Methods.

and apply it subsequently to disambiguating Mooney images? Conversely, if the regions are functionally specialized, how do they interact during disambiguation and how is the neural activity of the various regions and interaction among them related to disambiguation at the perceptual level?

Using functional magnetic resonance imaging (fMRI) of human subjects during Mooney image disambiguation, we report here evidence not only for a specialization between the memory and disambiguation functions but also for a disambiguation-specific link between them.

Materials and Methods

Subjects and fMRI

Ten adult human subjects (five females) with normal or corrected-to-normal vision participated in this study. All subjects gave previous informed consent. All protocols used in the study were approved in advance by the Institutional Review Board of the University of Minnesota.

Scans were performed as described previously (Hegd  et al., 2008). Briefly, blood oxygenation level-dependent (BOLD) signals were measured with an echo-planar imaging sequence (echo time, 30 ms; repetition time, 2000 ms; field of view, 220×220 mm²; matrix, 64×64 ; flip angle, 75°; slice thickness, 3 mm; interslice gap, 0 mm; number of slices, 28, slice orientation: axial, with the lowest slice below the bottom of the temporal lobes).

Stimuli and task

Stimuli ($8^\circ \times 10^\circ$ or $10^\circ \times 8^\circ$ for pictures in landscape or portrait format, respectively) were unfamiliar natural images of faces or flowers (Corel Photo CD Library; Corel Corp.) or custom-generated two-tone versions thereof (Fig. 1A). Images were chosen so that a given image contained a face or a flower in the foreground and covered ~15–60% of the area of the image. This meant that the images typically also contained other body/floral parts, given that the images were actual photographs.

Face images were chosen because the cortical responses associated with face perception in Mooney images have been well studied (Tovee

Table 1. Behavioral results

Response measure	Mooney _{Before}		Mooney _{After}	
	Faces	Flowers	Faces	Flowers
Main experiment				
Mean ± SEM rating (1–4 scale)	0.82 ± 0.05	0.73 ± 0.04	3.05 ± 0.07	2.80 ± 0.08
Reaction time ± SEM (ms)	1158 ± 13	1166 ± 13	1227 ± 13	1201 ± 12
Control experiment				
Mean ± SEM rating (1–4 scale)	0.81 ± 0.6	0.79 ± 0.7	1.15 ± 0.12	1.07 ± 0.16
Reaction time ± SEM (ms)	1142 ± 18	1148 ± 17	1161 ± 16	1171 ± 17

et al., 1996; Dolan et al., 1997; Moore and Cavanagh, 1998; Andrews and Schluppeck, 2004; Jemel et al., 2005; McKeef and Tong, 2007; Hsieh et al., 2010). Flower stimuli, rather than a broader set of objects (Dolan et al., 1997), were chosen so as to help control within-category perceptual variance, because some previous studies have reported that within-category perceptual variance has important effects on category-specific brain responses, although this has been debated (Rossion and Jacques, 2008 and references therein). Indeed, *post hoc* analyses of behavioral data (Table 1) indicated that face- and flower stimuli were indistinguishable in terms of the variance in ratings and reaction times (*F* tests, $p > 0.05$). Flowers, as opposed to another category of natural objects and tend to have stereotypical shapes in a manner broadly analogous to faces.

Each scan consisted of a series of five stimulus blocks alternating with six delay blocks (Fig. 1B). The stimulus blocks lasted 20 s each, and the delay blocks lasted 16 s each. Each scan followed an “events-within-block,” or mixed, design, in which each stimulus block consisted of 10 consecutive 2 s trials (Donaldson et al., 2001; Dosenbach et al., 2006) (Fig. 1C). The stimulus blocks consisted of a Mooney_{Before} block followed by three color blocks and by a Mooney_{After} block. We used three color blocks because our pilot studies showed that this many blocks were needed to produce a reasonably consistent asymptotic Mooney learning, i.e., a mean disambiguation rating of ≥ 3.0 in $\geq 75\%$ of Mooney_{After} blocks for each subject (see below). The two Mooney blocks in a given

scan consisted of an identical set of Mooney images but in randomized order.

We performed two experiments. In the “main” experiment, the color blocks consisted of full color versions of the corresponding Mooney images, also in a randomized order. In the “control” experiment, the color images were unrelated to Mooney images.

During each given scan of either experiment, 10 novel images of either faces or flowers (but never both) were presented. During Mooney blocks, stimuli were presented for 0.4 s each within 2 s trials (Fig. 1C), and the subjects rated the Mooney images on an ordinal scale, ranging from 1 (most ambiguous) to 4 (least ambiguous). During the color blocks, subjects did not rate the images but instead had to view carefully the color images that were presented for 2 s each. Each subject underwent four scans each for faces and flowers in the main experiment and two scans each in the control experiment.

Data analyses

Data were analyzed as described previously (Hegd  et al., 2008) except as noted otherwise. Briefly, data analyses were performed using SPM5 (www.fil.ion.ucl.ac.uk/spm), BrainVoyager (www.brainvoyager.com), or custom-written software. For response comparisons involving Mooney_{Before} or Mooney_{After}, selection bias is a potential concern because these conditions were involved in defining the regions of interest (ROIs) in the first place. To ensure that our results were not attributable to such selection bias, we used an independent split-data analysis (Kriegeskorte et al., 2009) (also see Baker et al., 2007a,b; Hegd  et al., 2008), in which we defined ROIs using one-half of the data from each subject and performed all the selective data analyses using the other half of the data.

Within-subject statistical maps were generated using a general linear model of either individual blocks (block model) or trials (event model). The block model consisted of individual regressors for each block, along with a common task-set regressor at the start of each stimulus block (Wagner et al., 2005). Event models were identical to block models, except that each stimulus-block regressor was replaced with the regressors corresponding to the individual trials within that block.

Group statistical maps were constructed using a random-effects analysis of the individual within-subject block design maps. Regions of significant activation were identified using one-tailed *t* contrasts of Mooney_{After} > Mooney_{Before} or Mooney_{After} < Mooney_{Before} at *p* < 0.05 after correcting for multiple comparisons (Toothaker, 1993). Clusters with >50 contiguous voxels (with each voxel at *p* < 0.05 after correcting for multiple comparisons) were further analyzed. Corresponding statistical maps generated using event models yielded similar results (data not shown), although they were not used in defining the regions.

The responses of individual regions were estimated using the MarsBaR utility (www.marsbar.sourceforge.net) and custom-written software. For blocked models, regression coefficient β_i represented the estimate of the response of the given region to the given block *i*. Similarly for event models, the β values of individual events were taken as the response estimates of the corresponding events.

Tests of significance. For hypothesis-driven comparisons, we used the nonparametric Mann–Whitney test. For data-driven pairwise comparisons, we used Tukey’s honestly significant difference (HSD) test rather than more stringent corrections (e.g., Bonferroni’s correction), because the former is more appropriate for multiple pairwise comparisons (Toothaker, 1993).

Response change index. To calculate this index for Mooney stimuli, the response change using the main experiment was adjusted by the corresponding response change during the control experiment, using

$$\text{Response Change Index}_{\text{Mooney}} = (\text{MA}_{\text{main}} - \text{MB}_{\text{main}}) - (\text{MA}_{\text{control}} - \text{MB}_{\text{control}}),$$

where MA and MB were the responses during the Mooney_{After} and Mooney_{Before} blocks estimated using the block model. We calculated the response change across color blocks (block 7 vs block 3) (for block numbering, see Fig. 1B) or delay blocks (block 8 vs block 2) in a similar manner. The *p* values were determined using randomization.

Similarly, the change of response between the last and the first color blocks were calculated as

$$\text{Response Change Index}_{\text{Color}} = (\text{Color}_{3_{\text{main}}} - \text{Color}_{1_{\text{main}}}) - (\text{Color}_{3_{\text{control}}} - \text{Color}_{1_{\text{control}}}),$$

where Color_{3_{main}} and Color_{1_{main}} were, respectively, the estimated responses during the last and the first color blocks during the main experiment, and Color_{3_{control}} and Color_{1_{control}} were the corresponding responses from the control experiment. The Response Change Index_{Delay} was similarly calculated by comparing the responses from Delay₄ and Delay₁ blocks of the main and control experiments.

Comparing BOLD versus behavioral responses: logistic regression

Modeling was performed separately for face versus flower data. In either case, we pooled the data across subjects, given the lack of statistical power for within-subject analyses. We regressed the behavioral rating m_j elicited given stimulus *j* during the Mooney_{After} block (dependent variable) on the BOLD response of a given region to the stimulus (independent variable) using the proportional odds model, which specifies the probability of observing a rating $m_j \geq g$ given the BOLD response X_i from the brain region *i* as

$$P(D \geq g | X_{ij}) = 1 / \{1 + \exp[-(\alpha_g + \beta_1 X_{ij})]\}$$

where $g = \{1, 2, 3\}$, α_g is the offset, and β_1 is the common regression coefficient (Harrell, 2001). The modeling was implemented using the *Design* library (Harrell, 2001) in R software package (www.r-project.org) and custom-written R software.

Connectivity analyses. Connectivity between a given pair of regions was assessed using the β series correlation analysis technique (Rissman et al., 2004; Gazzaley et al., 2007). Briefly, this technique measures the strength of functional connectivity between a pair of regions during a given condition as the correlation (i.e., coactivation) between the responses of the two regions during the condition, as measured by the corresponding regression coefficients. We measured the pairwise connectivity between the regions that provided significant fit in the aforementioned regression analysis. We used the regression coefficients determined by the event model as estimates of the BOLD response of a given region to a given condition. The statistical significance of the correlation coefficient was determined using randomization (with corrections for multiple comparisons).

Results

To study the neural basis of Mooney image disambiguation, we used the standard sequential stimulus presentation design where subjects viewed, in order, a set of previously unseen Mooney images, a set of unambiguous (i.e., color) images, and the same set of Mooney images (Tovee et al., 1996; Moore and Cavanagh, 1998; Moore and Engel, 2001; Andrews and Schluppeck, 2004; Jemel et al., 2005; McKeef and Tong, 2007; Hsieh et al., 2010) (for details, see Fig. 1 and Materials and Methods). This design allows the viewer to store the information from the color image in some type of memory and subsequently apply it to disambiguating the Mooney image, thus temporally separating the acquisition of the disambiguating information from the disambiguation process itself. Whether these two processes are mediated by different neural substrates is unknown. One possibility is that the same set of brain regions mediates both processes. Alternatively, the substrates may be specialized, with the two processes being mediated by two different sets of brain regions. To distinguish between these scenarios, we identified brain regions that are differentially responsive to Mooney images before versus after the exposure to color images and studied the extent to which the responses of these regions also reflect memory of the intervening color images.

We performed two experiments (main and control), in each of which subjects were scanned while learning to disambiguate

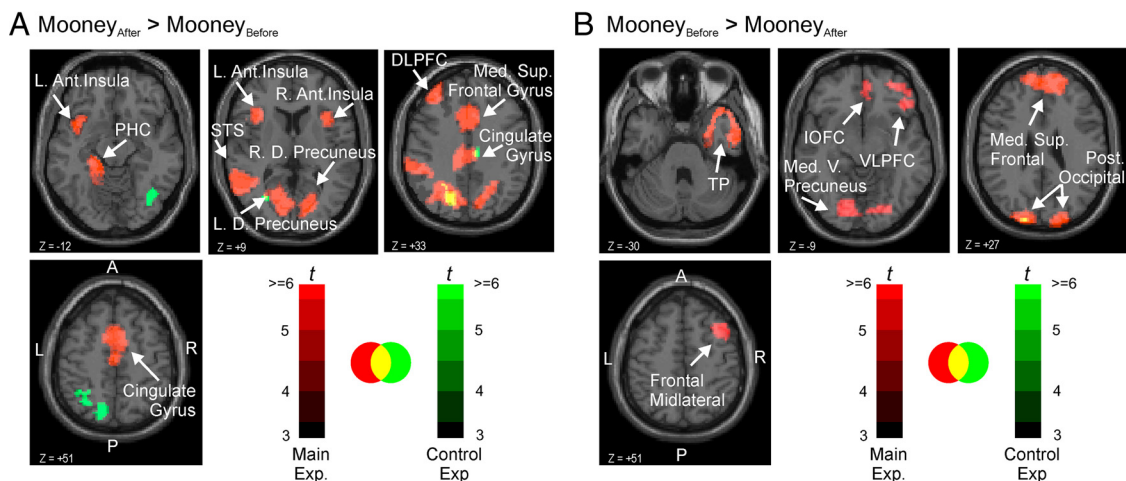


Figure 2. Brain regions activated in the main experiment, control experiment, or both. Separate statistical maps were generated using the group data for each experiment and transparently overlaid on transverse sections of a standard single-subject. Yellow regions denote overlap between the activation in the main experiment (red hues) and the control experiment (green hues), according to the color scales at bottom right. **A**, Brain regions with significantly larger responses during the Mooney_{After} than during the Mooney_{Before} block. Arrows denote regions listed in Table 2 (top). Activations from the control experiment are not labeled, because they were not of direct interest. **B**, Brain regions with significantly larger responses during the Mooney_{Before} than during the Mooney_{After} block. Arrows denote regions listed in Table 2 (bottom). Ant., Anterior; D., dorsal; DLPFC, dorsolateral prefrontal cortex; IOFC, inferior orbitofrontal cortex; L., left; Med., medial; Post., posterior; R., right; Sup., superior; V., ventral; VLPFC, ventrolateral prefrontal cortex.

Mooney images. In both experiments, the subjects rated the interpretability of a set of Mooney images of faces or flowers before and after viewing a set of color images (Fig. 1) (see Materials and Methods). In the main experiment, the Mooney and the color images were exact counterparts of each other, so that the subjects could use the information from the color images to disambiguate the corresponding Mooney images.

Although the exposure to the specific color images clearly helps disambiguate their Mooney counterparts, two additional, nonspecific exposure effects may also contribute to Mooney disambiguation: (1) Mooney learning attributable to repeated exposure to Mooney images (i.e., in which the initial viewing of the Mooney images helps prime the subsequent interpretability of the same images) (Moore and Cavanagh, 1998; Andrews and Schluppeck, 2004; Jemel et al., 2005) and (2) Mooney learning attributable to the exposure to any intervening color images (Tovee et al., 1996; Dolan et al., 1997; Moore and Cavanagh, 1998; Moore and Engel, 2001; Andrews and Schluppeck, 2004; Jemel et al., 2005; McKeeff and Tong, 2007; Hsieh et al., 2010). The control experiment, in which the intervening color images were unrelated to the Mooney images and thus provided no information for disambiguating specific Mooney images, helped us estimate the magnitude of these nonspecific learning effects. To help isolate the magnitude of Mooney learning resulting from memory of specific color images, we factored out the contribution of these nonspecific effects (see Materials and Methods).

Behavioral results showed that, as expected, Mooney images were better interpreted after exposure to the corresponding color stimuli (main experiment) (Table 1, top). The subjects' disambiguation rating of Mooney stimuli significantly improved for both faces and flowers after exposure to the corresponding color images (two-way ANOVA, exposure \times object category; $p < 0.05$ for exposure factor). The disambiguation also significantly increased in the control experiment, although significantly less than the increase in the main experiment (ANCOVA, $p < 0.05$ for experiment factor). Thus, much of the learning was attributable to the exposure to the corresponding images and not to exposure effects per se, in accordance with previous studies (Moore and Cavanagh, 1998; Andrews and Schluppeck, 2004). Moreover, dis-

ambiguation ratings during the Mooney_{Before} blocks showed no significant trend across successive scans (Kruskal–Wallis test, $p > 0.05$ for scans). That is, for each new set of images, the subjects' performance started out at approximately the same level. In addition, Mooney disambiguation was much less pronounced in the control experiment as expected, indicating that the disambiguation in the main experiment was not attributable to exposure effects per se (Table 1, bottom). Together, these results indicate that Mooney learning was not solely attributable to a nonspecific improvement in the subjects' ability to interpret Mooney images in general during the course of the experiment.

Differential BOLD responses to Mooney_{After} versus Mooney_{Before} conditions

We analyzed the BOLD responses using separate linear models for stimulus blocks and for individual stimuli within blocks ("block model" and "event model", respectively; see Materials and Methods). We used the block model to identify ROIs that may play a role in Mooney image disambiguation and the event model to test specific hypotheses about a given ROI (functional ROI approach) (Saxe et al., 2006). The block analysis revealed 16 different brain regions in which the responses significantly differed between Mooney_{After} and Mooney_{Before} blocks after the aforementioned exposure effects were accounted for, indicating that these regions play a role in Mooney image disambiguation (regions denoted by red hues in Fig. 2). Of these 16 regions of interest, nine showed increased responses to Mooney stimuli after the exposure to their color counterparts (Mooney_{After} > Mooney_{Before}, $p < 0.05$, corrected), and the remaining seven regions showed a response decrease (Table 2).

Several lines of evidence indicate that the learning-dependent changes in the BOLD responses, especially the tonic changes in responses, seen in some brain regions (Fig. 3) are not attributable to drift, i.e., an artifactual trend in the overall BOLD response attributable to factors other than changes in the underlying brain responses. First, all the data were preprocessed using standard methods to remove the effects of drift (Friston et al., 2007; Hegd  et al., 2008). Second, both tonic response increases as well as response decreases were observed in different brain regions (Fig.

Table 2. Brain regions involved in learning Mooney images

Region	Hemisphere	Brodmann area	x^d	y^d	z^d	t	Response change index _{Mooney} (Mooney _{After} vs Mooney _{Before}) ^b		Correlation between BOLD responses and ratings (Mooney _{After} stimuli) ^c	
							Faces	Flowers	Faces	Flowers
1	2	3	4	5	6	7	8	9	10	11
Mooney_{After} > Mooney_{Before} ($p < 0.05$, corrected)										
Dorsolateral prefrontal cortex	L	46	−33	36	27	8.48	0.44*	0.34	−0.10	−0.01
Parahippocampal cortex	L	30	−18	−27	−12	6.66	0.53*	0.41*	0.15*	0.05
Anterior insula	L	(not assigned)	−30	18	9	7.03	0.44*	0.48*	−0.04	0.05
Dorsal precuneus	L	17	−15	−53	9	5.47	0.38*	0.52*	0.04	0.05
Superior temporal sulcus	L	22	−63	−45	9	11.83	0.98**	0.93**	0.14*	0.04
Cingulate gyrus	L, R ^d	24	3	15	36	5.30	0.56*	0.50*	0.09	−0.07
Anterior insula	R	48	39	0	0	5.96	0.31	0.21	−0.03	−0.01
Dorsal precuneus	R	19	15	−48	9	6.47	0.62*	0.69*	0.06	0.04
Medial superior frontal gyrus	L, R ^d	8	1	15	51	5.80	0.37*	0.53*	0.05	−0.08
Mooney_{Before} > Mooney_{After} ($p < 0.05$, corrected)										
Medial ventral precuneus	L, R	23	3	−54	27	5.29	−1.55**	−1.16**	0.01	0.05
Ventrolateral prefrontal cortex	R	11	27	51	−9	5.45	−0.85**	−1.11**	0.01	−0.05
Inferior orbitofrontal cortex	R	47	45	27	−12	5.93	−1.80**	−0.72*	0.04	0.07
Posterior occipital	L, R ^d	18	18	−90	27	11.32	−1.51**	−0.70*	−0.16**	0.06
Frontal midlateral	R	44	30	15	36	5.56	−0.58*	−0.86**	−0.05	−0.01
Temporal pole	R	38	33	18	−33	4.49	−1.34**	−1.22**	−0.04	−0.02
Medial superior frontal	L, R ^d	9	−6	48	33	8.18	−1.61**	−1.17**	−0.15**	−0.09

* $p < 0.05$, ** $p < 0.005$. L, Left; R, Right.

^aMontreal Neurological Institute coordinate of the peak voxel.

^bThis index took into account the corresponding response changes during the control experiment. For details, see Materials and Methods.

^cSpearman's rank-order correlation with the average reported rating versus the β value of the BOLD response during the Mooney_{After} block. Values $-0.01 < r < 0.01$ were rounded out to the closest corresponding value. The p values were corrected for multiple comparison using Tukey's HSD test (Toothaker, 1993).

^dRegions that were coextensive in the statistical map because they were on the medial wall of the hemispheres were treated as a single region, i.e., the voxels were not partitioned into two hemispheres.

3, compare A, C), which is inconsistent with a generic trend in the data. Third, BOLD response in many brain regions, including visual regions, either did not vary significantly at all or varied in a manner inconsistent with drift (data not shown).

To quantify the response change between Mooney_{After} and Mooney_{Before} blocks, we used the Response Change Index_{Mooney} for each given ROI as described in Materials and Methods. The magnitude of the response change between Mooney_{After} and Mooney_{Before} blocks, as measured by this index, was often different for faces versus flowers (Table 2, column 8 vs 9), consistent with many previous reports of category specificity in Mooney learning (Dolan et al., 1997; Andrews and Schluppeck, 2004).

A previous positron emission tomography (PET) study reported a single large activation encompassing the precuneus that showed response changes during Mooney learning (Dolan et al., 1997). Our results confirm and extend this result, in that they indicate that the activation in the precuneus region is functionally heterogeneous, with at least three distinct subregions: left and right dorsal precuneus and ventral medial precuneus (Table 2) (Cavanna and Trimble, 2006; Hassabis et al., 2007; Spreng et al., 2009).

Many regions also showed significant response changes, as measured by the corresponding response change indices, during delay blocks (Table 3, columns 3, 4), and/or during color blocks (columns 5 and 6). The sign of this response change was generally (although not always) consistent with the response changes during the Mooney blocks (Table 2, columns 8 and 9), indicating that

these regions showed tonic response increases or decreases between the two Mooney blocks.

Parallels between the BOLD and behavioral responses to Mooney_{After} stimuli: evidence for disambiguation-related activity

To measure the extent to which the BOLD response of each region reflected the subject's perceptual response after Mooney learning, we used two different methods. The first, the coarse-grained method, compared the behavioral and neural responses on a scan-by-scan basis. To do this, we calculated the correlation coefficient between the subject's average response during a given Mooney_{After} block versus the overall BOLD response during that block estimated using the block model. Many regions showed a significant positive correlation, so that when the BOLD response was larger, the image was likely to be perceptually more interpretable and vice versa (Table 2, top, column 10, asterisks). Conversely, many occipital and temporal regions showed significant anticorrelation, so that smaller BOLD responses were associated with greater disambiguation and vice versa (Table 2, bottom). In each of these cases, the correlation was significant for faces but not for flowers, suggesting that the neural activity underlying Mooney disambiguation may depend on the object category. Notably, none of 16 regions showed a significant correlation between the BOLD responses and the stimulus rating during the Mooney_{Before} block (data not shown), indicating that these regions played a role in

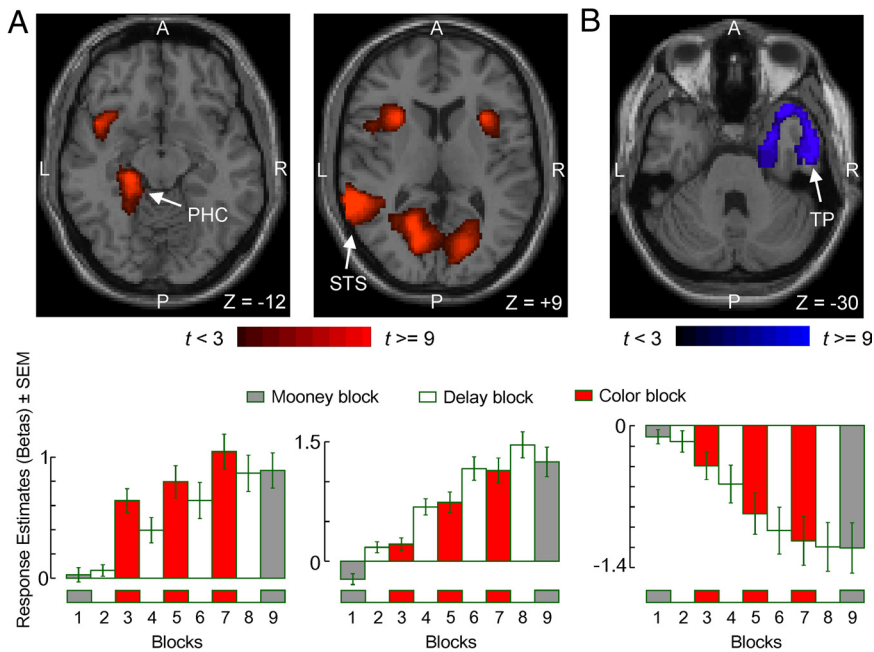


Figure 3. Three key brain regions with significant differential responses to Mooney_{After} versus Mooney_{Before} conditions. **A**, Mooney_{After} > Mooney_{Before} ($p < 0.05$, corrected). **B**, Mooney_{After} < Mooney_{Before} ($p < 0.05$, corrected). The three regions, the PHC, the STS, and the right TP, are shown in this figure superimposed on axial slices of a standard individual brain template (top row). The horseshoe appearance of the TP activation (**C**) results from the fact that the image plane slices through an activation that is roughly conical (data not shown). The time course of the responses to the face stimuli (β values in the corresponding block design models) in each region is shown in the bottom row. A β value of 0 denotes the baseline response across the whole brain (i.e., regression constant of the block model). The blocks are numbered as in Figure 1 *B*. A, Anterior; L, lateral; P, posterior; R, right.

the disambiguation after Mooney learning, not before. The corresponding comparisons for the Response Change Index_{Delay} and Response Change Index_{Color} are shown in Table 3 (columns 7–10).

The second method compared the neural versus behavioral responses during the Mooney_{After} block on a finer timescale, i.e., on a stimulus-by-stimulus basis. To do this, we used an ordinal logistic model to regress the subject's rating of each individual Mooney_{After} stimulus on the BOLD response of a given region to the given stimulus, estimated using the event-related model (Materials and Methods). For face stimuli, this procedure revealed three regions in which the BOLD responses significantly paralleled the behavioral responses, left parahippocampal cortex (PHC), left superior temporal sulcus (STS), and right temporal pole (TP) (Fig. 3). As noted earlier, face stimuli elicited an average behavioral rating of 3.05 ± 0.07 (SEM) during the Mooney_{After} block (Table 1, top). The fit of the BOLD responses from PHC, STS, and TP to the behavioral ratings of individual stimuli was significant at p values of 0.0085, 0.0164, and 0.0064, respectively (Table 4). Altogether, this regression analysis showed that the BOLD responses of these three regions, individually or collectively, to a given Mooney_{After} stimulus reliably reflected the subject's behavioral response to the stimulus (Table 4). When the behavioral responses were reshuffled with respect to the BOLD responses, the model no longer provided a significant fit ($p > 0.05$; data not shown), suggesting that the original fit obtained using the nonrandomized data was not attributable to chance.

In addition, we used the logistic model to assess how well activity in these regions predicted the behavioral responses to "new" stimuli. To do this, we recalculated the models using the BOLD response of each region to one-half of the Mooney_{After} stimuli and used this model to predict the subject's behavioral responses to the remaining half of the stimuli. We found that the

BOLD responses in the three regions were able to successfully predict the responses (data not shown). Activity in none of 16 regions, including PHC, STS, TP, and the precuneus complex (Dolan et al., 1997), significantly paralleled the behavioral responses to flower stimuli during the Mooney_{After} block. During the Mooney_{Before} block, the BOLD responses failed to show significant correlation with the behavioral responses for either faces or flowers in any brain region (data not shown). Together, these results indicate that the image disambiguation is dependent on object category and that the aforementioned three regions, PHC, STS, and TP, may play a direct role in the disambiguation of Mooney face images. This is consistent with the fact that lesions that affect these regions severely impair perception of Mooney faces (Crane and Milner, 2002; Steeves et al., 2006).

Evidence for BOLD activity related to the memory of color images

How do the aforementioned three "disambiguation-related" regions access information from the previously viewed color images? As noted earlier, one possibility is that one or more of these regions are also involved in storing the disambig-

uating information in some type of memory. This is consistent with the fact that both PHC and STS have been reported previously to play a role in visual memory (Courtney et al., 1996; Ranganath et al., 2004; Tanabe et al., 2005). Both these regions show tonic increases during Mooney learning, including delay blocks (Fig. 3*B*, bottom). Such a response increase is a characteristic of the readiness or intention to respond, an aspect of working memory called preparatory set (Connolly et al., 2002). Thus, the activity in these regions is consistent with the functional definition of this type of working memory (Goldman-Rakic, 1995; Fuster, 2001).

Conversely, it is possible that the other mechanisms of short-term memory, updating mechanisms of long-term memory, or processes related to perceptual memory, including priming or adaptation, contribute to the sustained responses during the delay period (Andrews et al., 2002; Leopold et al., 2002; Parker and Krug, 2003; Chen and He, 2004; Pearson and Clifford, 2004; Roth and Courtney, 2007; Jonides et al., 2008; Pearson and Brascamp, 2008; Brascamp et al., 2010). Regardless of the mechanism(s), one or more of these regions may serve to store the information from color images for use during the ensuing Mooney_{After} block. If this were the case, one would expect that, when these regions respond preferentially to a given color image, the subsequent disambiguation of its Mooney counterpart is greater. In other words, in this scenario, the activity of these regions would reflect how much information they store about a given color image.

Disambiguation-related changes in functional connectivity

To test the hypothesis that PHC, STS, or TP indeed play a role storing the information from the color images in memory, we regressed the BOLD responses in each region to the corresponding color stimuli during the last color block (block 7) on the

Table 3. Response changes across color stimulus blocks and across delay blocks

Region	Hemisphere	Response change				Correlation with behavior ^b			
		Response change index _{Delay} (Delay ₄ vs Delay ₁) ^a		Response change index _{Color} (Color ₃ vs Color ₁) ^a		Delay ₄ vs Delay ₁		Color ₃ vs Color ₁	
		Faces	Flowers	Faces	Flowers	Faces	Flowers	Faces	Flowers
1	2	3	4	5	6	7	8	9	10
Brain regions with Mooney _{After} > Mooney _{Before}									
Dorsolateral prefrontal cortex	L	48.2*	36.4	26.9*	36.2*	−0.01	−0.15	−0.12	−0.13
Parahippocampal cortex	L	39.6	34.8	38.5	25.7	−0.01	0.02	0.10	0.01
Anterior insula	L	40.6*	38.7*	32.7*	32.3*	0.10	−0.16	0.07	−0.14
Dorsal preuneus	L	44.9*	46.5*	9.2	28.9	0.28*	0.07	0.27*	−0.01
Superior temporal sulcus	L	82.0**	58.8*	69.4*	53.6*	0.04	−0.27*	0.01	−0.26*
Cingulate gyrus	L, R	35.3	41.5*	36.0	25.8	−0.20	−0.33*	−0.19	−0.40**
Anterior insula	R	−18.5	11.6	−9.6	16.7	−0.04	−0.16	−0.02	−0.16
Dorsal preuneus	R	39.0*	64.7*	24.7	42.0	0.20	0.04	0.21	−0.01
Medial superior frontal gyrus	L, R	31.4*	34.7*	26.0*	44.7*	−0.15	−0.14	−0.08	−0.11
Brain regions with Mooney _{Before} > Mooney _{After}									
Medial ventral precuneus	R	−121.0**	−93.0**	−110.3**	−85.5**	0.04	−0.27*	0.09	−0.30
Ventrolateral prefrontal cortex	R	−83.6**	−100.9**	−40.9*	−59.2**	−0.04	−0.08	0.02	−0.07
Inferior orbitofrontal cortex	R	−148.1**	−63.6*	−100.6**	−31.7	−0.15	−0.16	−0.17	−0.11
Posterior occipital	L, R	−113.6**	−52.7*	−107.5**	−54.1**	−0.14	0.38*	−0.09	0.47**
Frontal midlateral	R	−44.9*	−61.6**	−35.7*	−50.6**	−0.18	−0.10	−0.19	−0.09
Temporal pole	R	−141.9**	−89.8*	−74.5*	−72.6*	0.02	−0.10	0.06	−0.04
Medial superior frontal	L, R	−137.5**	−105.1**	−91.4**	−53.7*	−0.25*	−0.22	−0.21	−0.18

* $p < 0.05$, ** $p < 0.005$. L, Left; R, right.

^aThis index took into account the corresponding response changes during the control experiment. For details, see Materials and Methods.

^bSpearman's rank-order correlation with the average reported rating versus the β value of the BOLD response during the Color₃ block (i.e., block 7). Values $-0.01 < r < 0.01$ were rounded out to the closest corresponding value. The p values were corrected for multiple comparison using Tukey's HSD test (Toothaker, 1993).

Table 4. Logistic regression of disambiguation ratings on BOLD responses during Mooney_{After} block: model fit parameters

Model (regressor/s)	Dataset	p	c Index ^a	Somers' D_{xy} ^b	Gamma ^c	Tau-a ^d	R_N^2 ^e
Left PHC	Faces	0.0085	0.577	0.154	0.156	0.115	0.02
Left STS	Faces	0.0164	0.548	0.095	0.096	0.071	0.017
Right TP	Faces	0.0064	0.561	0.122	0.123	0.091	0.022
PHC, STS, and TP	Faces	$< 1^{-12}$	0.612	0.223	0.224	0.166	0.065
All 16 ROIs	Faces	$< 1^{-4}$	0.651	0.32	0.303	0.225	0.131
PHC, STS, and TP	Flowers	0.0146	0.567	0.134	0.135	0.1	0.031
All 16 ROIs	Flowers	0.0188	0.621	0.243	0.244	0.181	0.085

^aThe value of c index is identical to the area under the receiver operating characteristic curve (Bamber, 1975; Hanley and McNeil, 1982).

^bMeasure of concordance between the observed disambiguation rating and the rating estimated by the corresponding model (Somers, 1962).

^cGoodman–Kruskal gamma statistic of association between predicted probabilities and observed responses (Goodman and Kruskal, 1954).

^dKendall' tau-a rank correlations between predicted probabilities and observed responses (Kendall, 1938).

^eGeneralized R_N^2 index of Nagelkerke (1991).

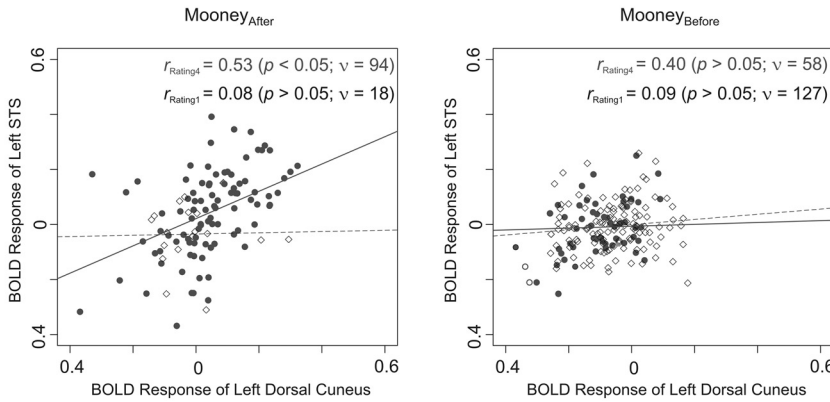
individual ratings during a given Mooney_{After} block (block 9). We found that the model fits were insignificant for the three regions individually or together ($p > 0.05$; data not shown), indicating that the response of these regions to color stimuli did not directly reflect the behavioral responses during the Mooney_{After} block. Thus, it is unlikely that these three regions play a role of storing information about specific images in memory. Other regions may instead play a more direct role in storing the specific disambiguation information and conveying it to the aforementioned three regions that play a direct role in Mooney disambiguation.

To identify such regions, we performed a connectivity analysis using the β series correlation technique, which uses correlated activity (i.e., coactivation) between a pair of regions as a measure of the strength of functional connectivity between them (Rissman et al., 2004; Gazzaley et al., 2007) (see Materials and Methods). To perform this analysis, we searched for regions that had (1) significant correlated activity with one of the aforementioned three regions during the Mooney_{After} block and (2) stronger correlation for Mooney

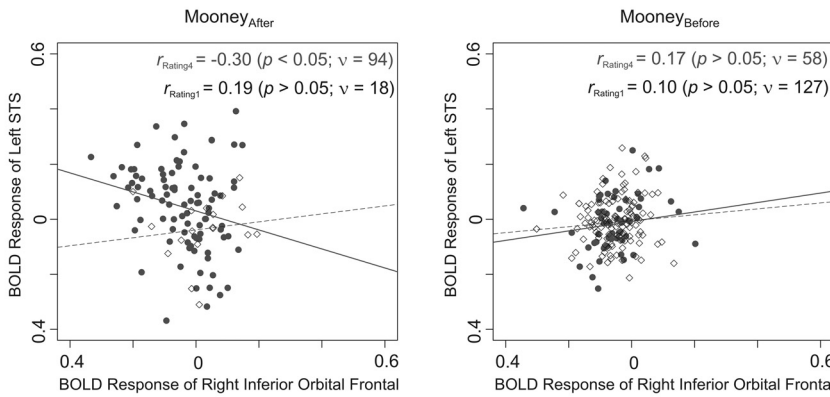
stimuli that elicited higher ratings than to those that elicited lower ratings.

Figure 4 shows two regions, left dorsal precuneus and right inferior orbital sulcus, that met both these criteria. Both the regions have been shown previously to play key roles in various types of memory (Courtney et al., 1996; Crane and Milner, 2002; Tanabe et al., 2005; Wagner et al., 2005; Cavanna and Trimble, 2006; Hassabis et al., 2007; Roth and Courtney, 2007; Jonides et al., 2008; Spreng et al., 2009). We found that functional connectivity, as measured by coactivation with STS, was stronger for stimuli that elicited larger ratings than for stimuli that elicited lower ratings (Fig. 4A, B, left columns). The coactivation was weaker regardless of the disambiguation rating during the Mooney_{Before} block, suggesting that Mooney learning selectively strengthened the functional connectivities, in terms of increased correlated activity, in an image-specific manner (Fig. 4A, B, right columns). Together, these results indicate that these two regions play an important role in storing the information and selectively interact with the aforementioned three disambiguation-related regions during the disambiguation. This functional

A Left Dorsal Cuneus



B Right Inferior Orbital Frontal



C

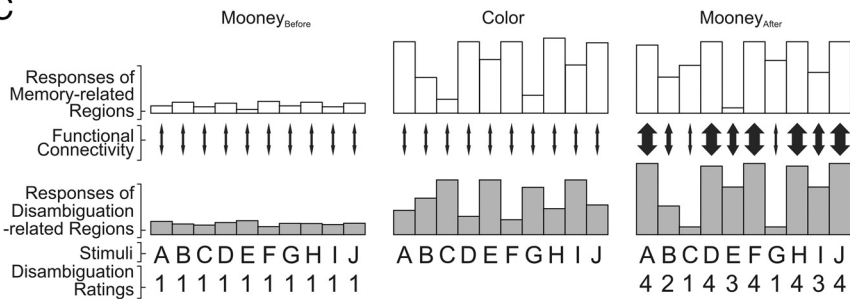


Figure 4. Variations in the strength of functional connectivity of STS with other brain regions as a function of disambiguation rating. Functional connectivity was measured as pairwise correlated activation (or coactivation) of STS and selected other brain regions (Rissman et al., 2004; Gazzaley et al., 2007). **A**, Responses of left dorsal precuneus (*x*-axis) to individual Mooney stimuli are plotted against the responses left STS (*y*-axis) as a function of the disambiguation rating elicited by the stimulus (filled circles, rating of 4; open diamonds, rating of 1) for Mooney_{After} (left scatter plot) or Mooney_{Before} (right scatter plot) condition. The straight lines denote the best-fitting regression lines; the corresponding correlation coefficients *r* are shown at top right. Note that the statistical significance of *r* values depends not only on its magnitude but also on its degree of freedom *v*. **B**, Connectivity between right inferior orbital frontal region and the STS. **C**, A schematic summary of the results. During the Mooney_{Before} block (left column), both memory-related regions (white bars) and disambiguation-related regions (gray bars) responded poorly to the stimuli, and the magnitude of the functional connectivity (denoted by the thickness of the double arrows) was low. During the color blocks (only one of which is shown) and during delay periods (data not shown), the responses of the memory-related regions increased much more than the activity of the disambiguation-related regions did. Note that the stimuli that elicited the larger responses from memory-related regions during the color blocks were generally also those that elicited the higher disambiguation ratings during the Mooney_{After} block (e.g., stimuli A, C, F, H, J). Also during the Mooney_{After} block, the functional connectivity between the two sets of regions was stronger for those stimuli that elicited higher disambiguation ratings. Moreover, the disambiguation ratings during this block were correlated with the responses of the disambiguation-related regions but not of the memory-related regions. Note that the actual experiment differed from this idealized account in many respects, including the fact that there were three color blocks (instead of one block as shown) and that the order of the stimuli was randomized from one block to the next.

Discussion

Together, our results suggest that Mooney “online” learning involves at least two overlapping sets of regions with distinct functions. One set of brain regions, including those in the precuneus region identified by a previous PET study (Dolan et al., 1997), plays a primary role in storing the visual information from color images in memory. Many of these regions, including the precuneus, are known to be part of a generic, core memory network for objects and are known to be recruited into various object recognition tasks in a task-specific manner (Cavanna and Trimble, 2006; Hassabis et al., 2007; Roth and Courtney, 2007; Spreng et al., 2009). Visual information from these regions is conveyed to the regions that play a more direct role in disambiguation (Fig. 4C). The latter set of regions presumably disambiguate the Mooney images by integrating the ambiguous sensory information about the images with the disambiguating information about the corresponding color images from one or more memory systems. The disambiguation-related regions, PHC and STS, have been shown previously to play comparable integrative roles, including in the integration of complex visual features into abstract representations and the integration of sensory cues across multiple modalities (Campanella and Belin, 2007; Noppeney et al., 2008; Barense et al., 2010). It is plausible that perceptual learning evident in the third region, TP, helps better “filter” the incoming ambiguous sensory information (Tovee et al., 1996; Andrews and Schlupeck, 2004).

Learning accurate interpretations of Mooney images involves an early stage in which images have low global interpretability (semantic) as well as low local interpretability (e.g., edges do not have distinguishable causes) but, after exposure to the color “explanations,” can be accurately interpreted at global and local scales. The complexity of this process suggests roles for working memory, updating mechanisms of long-term memory, priming, and/or adaptation (Courtney et al., 1996; Andrews et al., 2002; Connolly et al., 2002; Leopold et al., 2002; Parker and Krug, 2003; Chen and He, 2004; Pearson and Clifford, 2004; Ranganath et al., 2004; Tanabe et al., 2005; Roth and Courtney, 2007; Jonides et al., 2008). We consider several possibilities in turn.

connectivity is consistent with the previous anatomical findings that both precuneus and inferior orbital cortex have strong reciprocal connections with STS (Petrides and Pandya, 2002; Cavanna and Trimble, 2006; Takahashi et al., 2007; Hagmann et al., 2008).

First, long-term memory of familiar categories acquired before the experiment (faces, flowers, but also natural background “noise” objects that are not part of the target class) could help the subjects learn to resolve ambiguity. For example, given time, the Dalmatian dog

can be interpreted by most observers without any perceptual “clues”; however, novel (Moore and Engel, 2001) or familiar objects in high clutter (as in our study) may require previous exposure to an interpretable version for rapid disambiguation. Second, for Mooney images with substantial clutter (as in our study), working memory is likely important for integrating recently acquired information (e.g., from the training exposures) with long-term memory and applying it to group local image features robustly tied to the target object and reject those that are not. False features occur both on the target (shadows in the study by Cavanagh, 1991) and off the object [e.g., background clutter, which poses a problem similar to camouflage (Brady and Kersten, 2003)].

Third, a number of recent studies using bistable images (Pearson and Brascamp, 2008; Brascamp et al., 2010) have provided evidence for a memory trace that codes low-level features of stored stimuli and that accumulates over stimulus presentations. For example, Brascamp et al. (2010) showed that transcranial magnetic stimulation applied to human motion complex hMT+ biased perceptual reports toward the more common perceptual interpretation, suggesting an impairment of an accumulation of perceptual memory traces that act against the common interpretation. Pearson and Brascamp (2008) have argued that the idea of perceptual memory traces suggested by bistable perception studies shares important characteristics with priming by nonambiguous stimuli. Although the perceptions of our images were not phenomenally bistable, learning accurate interpretations of the Mooney images required experience with easily interpretable color image versions, perhaps involving a kind of priming similar to that in these other studies. If so, the visual system would need to accumulate and hold information from multiple traces for the 10 distinct scenes, randomly interspersed during training.

Fourth, the learning process may involve mechanisms that test (e.g., through memory-based predictions of low-level features) whether the perceptual interpretation is consistent with previously acquired target knowledge. Our finding of a change in posterior occipital activity for interpretable Mooney images is consistent with this idea and with another study reporting that areas as early as primary visual cortex V1 are involved in Mooney disambiguation (Hsieh et al., 2010). This idea also provides a potential tie-in to the perceptual memory trace discussed above. High-level explanations (involving long-term and working memory) may induce visual traces to resolve ambiguity during learning and later recognition. It is conceivable that the same neural substrate is also involved in the effects of conscious imagery on short-term sensory traces (Pearson et al., 2008) and the patterns of V1 activity reflecting working memory (Harrison and Tong, 2009) that which occur in the absence of visual stimuli.

Our finding of a decrease in posterior occipital activity is consistent with the proposal that early disambiguation involves the suppression of “noisy” neural activity rather than an increase in neural activity consistent with the perceptual interpretation (Murray et al., 2004). Two previous studies using Mooney images found that activity in the fusiform face area was correlated with the perceptual decision and awareness of a face (Andrews and Schluppeck, 2004; McKeeff and Tong, 2007), suggesting that this region is also involved in integrating sensory information with stored knowledge. The fact that we did not find a similar correlate in our study may, in part, be because our design required observers to rank interpretability rather than recognize a particular object class. Another difference was that our face images had the considerable clutter typical of natural scenes and also included

more of the body, so that the face constituted a comparatively smaller proportion of the image.

The functional interaction between the memory mechanisms versus brain regions more directly involved in image disambiguation may represent an integrated system of knowledge-mediated disambiguation wherein perceptual knowledge is used to disambiguate incoming sensory information that operates under everyday vision. Obviously, the precise disambiguation-related regions and the types of memory mechanisms likely vary depending on the task and memory needs. Indeed, the mechanisms elucidated in this study may not underlie long-term Mooney learning. For instance, Mooney images, once disambiguated, can stay unambiguous for years, especially after repeated exposure, as in the case of the classic Dalmatian dog image (Gregory, 1973). In such cases, longer-term memory mechanisms are likely to be involved. Thus, what may be generalizable from our study is not specific brain networks per se but functional specialization of memory-related versus disambiguation-related functions and disambiguation mediated by enhanced functional connectivity with the appropriate memory mechanisms. Although the role of the various memory mechanisms in sensory disambiguation remains to be characterized, our study suggests that examining selective functional connectivity between the relevant memory mechanisms on the one hand and disambiguation-related regions on the other is a promising avenue for elucidating such processes.

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